

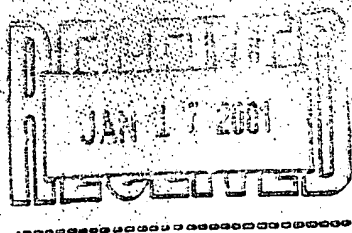
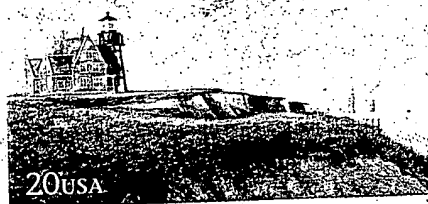
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Applicant(s):
Serial No.:
Filed:
Docket No.:
Date Sent:

Brian J. Nickoloff
PCT/US99/14549
Herewith
ISPH-0531
January 5, 2001

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08053+2141

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: ISPH-0531

Inventors: BRIAN J. NICKOLOFF

Serial No.: NOT YET ASSIGNED

Filing Date: HEREWITH

Examiner: NOT YET ASSIGNED

Group Art Unit: NOT YET ASSIGNED

Title: METHOD OF TREATING DISORDERS BY
MODULATING THE INTERACTION OF NATURAL
KILLER RECEPTORS ON T CELLS WITH THEIR
RESPECTIVE LIGANDS

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Date of Deposit 5 January 2001

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Assistant Commissioner for Patents
Washington, DC 20231

Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).

- (XX) In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of the above identified application as set forth in §1.491, or before the mailing date of a first Office Action on the merits of the above-identified application, no additional fee is required.
- () In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, therefore:
- () Certification in Accordance with §1.97(e) is set forth below; or
- () The fee of \$240.00 as set forth in §1.17(p) is attached.
- () In accordance with §1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311 but before the payment of the Issue Fee, therefore included are: Certification in Accordance with §1.97(e); Petition Requesting Consideration of the Information Disclosure Statement; and the fee of \$130.00 as set forth in §1.17(i)(1).
- (XX) Copies of each of the references listed on the attached Form PTO-1449 (modified) are enclosed herewith.

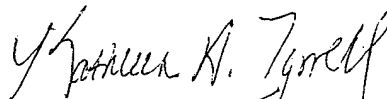
() In accordance with §1.98(d), copies of some or all of the references listed on the attached Form PTO-1449 (modified) are not enclosed herewith because they were previously submitted to the U.S. Patent and Trademark Office in prior application Serial No. _____, filed _____, for which a claim for priority under 35 U.S.C. §120 has been made in the instant application.

Please charge any deficiency or credit any overpayment to Deposit Account No. 50-1619. This form is submitted in duplicate.

() The relevance of the listed references in a foreign language is as stated in the specification at pages @@.

(xx) All listed references are in the English language.

Respectfully submitted,



Kathleen A. Tyrrell
Registration No. 38,350

Date: January 5, 2001

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Form PTO-1449 Modified List of Patents and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office				Docket No. ISPH-0531		Serial No. NOT YET ASSIGNED	
				Applicant BRIAN J. NICKOLOFF			
				Filing Date HEREWITH		Group NOT YET ASSIGNED	
U. S. PATENT DOCUMENTS							
Examiner		Document	Date	Name	Class	Subclass	
	AA	5,028,424	7-2-91	Evans et al.			
	AB	5,185,430	2-9-93	Evans et al.			
	AC	5,770,387	6-23-98	Litwin et al.			
FOREIGN PATENT DOCUMENTS							
Examiner Initial		Document No.	Date	Country	Translation YES NO		
EXAMINER				DATE CONSIDERED			

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		Applicant BRIAN J. NICKOLOFF	
		Filing Date HEREWITH	Group NOT YET ASSIGNED
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			
	AA	Carbone et al., "Natural Killer Clones Recognize Specific Soluble HLA Class I Molecules", 1996 <i>European Journal of Immunology</i> 26(3):683-689	
	AB	Lazetic et al., "Human Natural Killer Cell Receptors Involved In MHC Class I Recognition Are Disulfied-Linked Heterodimers of CD94 and NKG2 Subunits", 1996 <i>Journal of Immunology</i> 157:4741-4745	
	AC	Lopez-Botet et al., "The CD94/NKG2 C-Type Lectin Receptor", 1997 <i>Complex. Immunological Research</i> 16(2):175-185	
	AD	Majewski et al., "Defective Natural Killer-And Killer-Cell Activity Associated With Increased Polymorphonuclear Leukocyte Adherence In Psoriasis", 1986 <i>Arch. Dermatol. Research</i> 278:264-269	
	AE	Phillips, J.H., et al., "CD94 and a Novel Associated Protein (94AP) Form a NK Cell Receptor Involved in the Recognition of HLA-A, HLA-B, and HLA-C Allotypes" 1996 <i>Immunity</i> 5:163-172	
EXAMINER		DATE CONSIDERED	